

SAS:gte 4239-61997 233729 11/11/03

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TO: Office of Initial Patent Examination – Filing Receipt Corrections**Fax No.:** 703-746-9195**Total No. Pages:** 5 including this cover sheet

Message: Applicants received the attached Corrected Filing Receipt dated December 2, 2002, with an error in the domestic priority data as claimed by applicant. The correct priority information is marked on the attached sheet for easy reference. Also attached as Exhibit A is a copy of the transmittal letter that was filed with this application on February 6, 2002. Page two of the transmittal letter also lists the correct priority information for this application. Please correct the priority data and issue a second Corrected Filing Receipt. If you do not receive all pages or if you have problems receiving transmittal, please call Greg Euteneier at (503) 226-7391.

In re application of: Klinman et al.**Application No.** 10/068,160**Filed:** February 6, 2002**Confirmation No.** 9731**For:** OLIGODEOXYNUCLEOTIDE AND ITS
USE TO INDUCE AN IMMUNE
RESPONSE**Examiner:** Dave Nguyen**Art Unit:** 1623**Attorney Reference No.** 4239-61997**CERTIFICATE OF FACSIMILE**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being facsimile transmitted to fax number 703-746-9195 on the date shown below.

Agent
for Applicant(s)Date Transmitted November 11, 2003
Susan Alpert Siegel, Ph.D.

Registration No. 43,121

November 11, 2003

Date

cc: Docketing

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NIF/SAS/nms

Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/068,160	02/06/2002	1632	.1572	4239-61997	7	59	1

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CONFIRMATION NO. 9731

CORRECTED FILING RECEIPT



OC000000009181498

Date Mailed: 12/02/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dennis Klinman, Potomac, MD;
Daniela Verthelyi, Potomac, MD;
Ken Ishii, Rockville, MD;
James J. Mond, Silver Spring, MD;
Mayda Gursel, Rockville, MD;

Assignment For Published Patent Application

The Govt. of the USA as represented by the Secretary of the Dept of Health and Human Services;

Domestic Priority data as claimed by applicant

~~This application is a CIP of 09/958,713
which claims benefit of 60/428,898 04/12/1999~~

← This application is a CIP of 09/958,713 filed 10/7/02
which is a 371 of PCT/US00/09839 filed 4/12/00
which claims benefit of 60/128,898 filed 4/12/99

Foreign Applications

If Required, Foreign Filing License Granted: 03/11/2002

Projected Publication Date: 08/29/2002

Non-Publication Request: No

Early Publication Request: No

Title

Oligodeoxynucleotide and its use to induce an immune response

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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SAS:jam 02/06/02 97610
 Attorney Reference Number 4239-61997
 PATENT

EXPRESS MAIL LABEL NO. EV053213585US
 DATE OF DEPOSIT: February 6, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
 COMMISSIONER FOR PATENTS
 WASHINGTON, D.C. 20231

Transmitted herewith for filing is the continuing patent application of:

Inventor(s): Dennis M. Klinman, Daniela Verthelyi, Ken Ishii, James J. Mond, Mayda Gursel

For: OLIGODEOXYNUCLEOTIDE AND ITS USE TO INDUCE AN IMMUNE RESPONSE

Enclosed are:

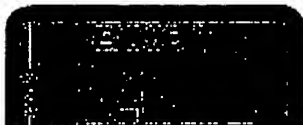
- ☒ 65 pages of specification, 7 pages of claims, and an abstract
☒ 7 sheet(s) of drawings
☒ 3 pages of an Oath or Declaration (unsigned)
☐ Newly executed (original or copy)
☐ A copy of oath or declaration filed with the prior application (37 C.F.R. § 1.63(d))
☐ The inventors to be deleted are set forth on a separate sheet attached hereto
- ☒ Nucleotide and/or Amino Acid Sequence Submission
☒ Computer Readable Copy
☒ Paper Copy (identical to computer copy), 31 pages
☒ Statement in compliance with 37 C.F.R. § 1.821 verifying identity of above copies
- ☐ Other:
- Continuing Application:
☐ Continuation
☐ Divisional
☒ Continuation-in-part (CIP)

Information from Prior Application:

Prior Application Number: 09/958,713
 Examiner: Not yet assigned
 Art Unit: Not yet assigned

FILING FEE					
For	Claims Filed	Number allotted	Number Extra	Rate	Basic Fee
Total Claims	59	20	= 39	\$18.00	\$ 702.00
Independent Claims	1	3	= 0	\$84.00	\$ 0.00
Multiple Dependent Claim Fee				\$280.00	
TOTAL FILING FEE					\$1442.00

- ☒ A check in the amount of \$1,442.00 to cover ☒ filing fee and ☐ assignment recordal fee is enclosed.



EV053213585US

TRANSMITTAL - Page 1 of 2

SASjam 02/06/02 97610
Attorney Reference Number 4239-61997
PATENT


EXPRESS MAIL LABEL NO. EV053213585US
DATE OF DEPOSIT: February 6, 2002

- ☒ Assignee for this application is or will be The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services, and applicants request that this information be listed on the published application.
- ☒ This is a continuation-in-part of U.S. Application No. 09/958,713 filed on October 11, 2001, which claims the benefit of PCT Application No. PCT/US00/09839 filed on April 12, 2000, which claims priority to U.S. Provisional Patent Application No. 60/128,898 filed on April 12, 1999, all of which are incorporated herein by reference in their entirety
- ☐ Please charge our Deposit Account No. 02-4550 in the amount of _____. Two copies of this sheet are enclosed.
- ☒ The Director is hereby authorized to charge any additional fees which may be required in connection with the filing of this application and recording any assignment filed herewith, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By


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TRANSMITTAL - Page 2 of 2